

*\$ Sealed*

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.

240/218

In Re Application Of: Chris Mahne, Steve Zizzi, Shannon Von Burns, and Ken Townsley

MAY 24 1999



Serial No.

09/259,991

Filing Date

March 1, 1999

Examiner

not yet assigned

Group Art Unit

2766

Invention: TRANSPARENT ENCRYPTION AND DECRYPTION WITH ALGORITHM INDEPENDENT CRYPTOGRAPHIC ENGINE THAT Allows FOR CONTAINERIZATION OF ENCRYPTED FILES

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

March 23, 1999

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

- One verified small entity declaration(s)

- is/are attached.
- was/were filed on \_\_\_\_\_
- A separate request for refund.
- Other (list):

Power of Attorney and Assignment to Maz Technologies, Inc.

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
240/218

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Serial No.  
09/259,991

Filing Date  
March 1, 1999

Examiner  
not yet assigned

Group Art Unit  
2766

Invention:

**CRYPTOGRAPHIC ENGINE THAT ALLOWS FOR CONTAINERIZATION OF ENCRYPTED FILES**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<u>\$380.00</u>
<input type="checkbox"/> Design application filing fee	<u>                  </u>
<input checked="" type="checkbox"/> Total number of independent claims = <u>      5      </u>	<u>\$78.00</u>
<input checked="" type="checkbox"/> Total number of claims = <u>      46      </u>	<u>\$234.00</u>
<input type="checkbox"/> Multiple dependent claims	<u>                  </u>
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<u>\$65.00</u>
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the invention	<u>                  </u>
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	<u>                  </u>
<input type="checkbox"/> Fee for processing and retention of application	<u>                  </u>
Total completion of application fees	
	<u>\$757.00</u>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months

from: \_\_\_\_\_  
*Date*

until: \_\_\_\_\_  
*Date*

Total time extension fees \_\_\_\_\_

Total fees due \_\_\_\_\_ \$757.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
240/218

In Re Application Of: Chris Mahne, Steve Zizzi, Shannon Von Burns, and Ken Townsley

Serial No.  
09/259,991

Filing Date  
March 1, 1999

Examiner  
not yet assigned

Group Art Unit  
2766

Invention: TRANSPARENT ENCRYPTION AND DECRYPTION WITH ALGORITHM INDEPENDENT CRYPTOGRAPHIC ENGINE THAT Allows FOR CONTAINERIZATION OF ENCRYPTED FILES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$757 is to be paid as follows:

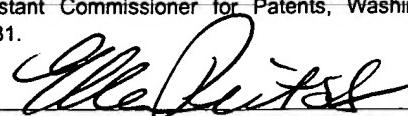
- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 12-2475  
A duplicate copy of this sheet is enclosed.



*Roy L. Anderson, Esq.*  
*Reg. No. 30,240*  
*LYON & LYON LLP*  
*633 West Fifth Street*  
*Suite 4700*  
*Los Angeles, CA 90071*  
*(213)489-1600*  
*(213)996-4132 fax*

Dated: May 17, 1999

I certify that this document and fee is being deposited on 5/17/99 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Ellen Pritsker*

*Signature of Person Mailing Correspondence*

cc:

Ellen Pritsker

*Typed or Printed Name of Person Mailing Correspondence*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
09/259,991	03/01/99	MAHNE	C 240/218

0242/0323

LYON & LYON  
633 WEST FIFTH STREET 4700  
LOS ANGELES CA 90071

NOT ASSIGNED

2766

DATE MAILED:

03/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this notice to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as of 05/25/1999 is **8888.00**.  
 small entity (statement filed)  non-small entity is \$ **1514**.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ **766.1** to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ **654.1**, including any multiple dependent claim fees, are required.

\$ **156.1** for **2** independent claims over 3.

\$ **468** for **18** dependent claims over 20.

\$ **156.1** for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.

does not cover the newly submitted items.

does not identify the application to which it applies.

does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

*N. Day*  
**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

RECEIVED  
MAR 26 1999  
U.S. PROSECUTION



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
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08/259,991      03/01/99      MAHNE

1.      240/218

0242/0323

LYON & LYON  
603 WEST FIFTH STREET 4700  
LOS ANGELES CA 90071

NOT ASSIGNEE

2766

DATE MAILED:

03/20/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

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*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*  
 *small entity (statement filed)*  *non-small entity is \$ 1514*.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 1514, including any multiple dependent claim fees, are required.

\$ 156 for independent claims over 3.

\$ 468 for 18 dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

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9. OTHER: \_\_\_\_\_

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE